

# JC17 Rec'd PCT/PTO 25 JUN 200 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 869 185 REQUEST FOR FILING NATIONAL PHASE OF PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

Hon. Commissioner of Patents Washington, D.C. 20231

\*\*

TRANS	MITTAL LETTER TO THE UNITED	STATES	Atty	Dkt:		46 /221			
DESIG	NATED/ELECTED OFFICE (DO/EO/	US)			M#	/Client Ref.			
From:	Manelli Dension & Selter:		Date:	June 25,	, 2001				
	This is a <b>REQUEST</b> for <u>FILING</u> a P	CT/USA Natio	onal Phase A	pplication ba	ased on:				
1.	International Application	2. Intern	ational Filing	Date	3. Earlies	st Priority Date Claimed			
_	PCT/JP00/07491	26	October	2000	26	October 1999			
	企 country code	Day	MONTH	Year	Day	MONTH Year			
(use item 2 if a Measured from the earliest priority date in item 3, this PCT/USA National Phase Application filed within:									
	(a) ≥ 20 months from above item 3 date (b) ☐ 30 months from above item 3 date,								
	(c) Therefore, the due date (unextendable) is 26 June 2001								
	Title of Invention: METHOD OF BREEDING YEAST								
<b>:6</b> .	Inventor(s) Toshihiko ASHIKARI and Misa OCHIAI								
Applica	nt herewith submits the following und	der 35 U.S.C.	371 to effect	filing:					
7									
	A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:								
<u>,</u>	a. Request; b. Abstract;								
	<ul><li>c. pgs. Spec. and Claims;</li><li>d. sheet(s) Drawing which are   i</li></ul>	nformal 🗌 fo	ormal of size	□ A4 □	11"				
9.	oxtimes A copy of the International Application has been transmitted by the International Bureau.								
10.	A translation of the International Application into English (35 U.S.C. 371(c)(2))  a.  is transmitted herewith including: (1)  Request; (2)  Abstract;  (3) 33 pgs. Spec. and Claims;  (4) 8 sheet(s) Drawing which are:								
	<ul> <li>☐ informal ☒ formal of size ☒ A4 ☐ 11"</li> <li>b. ☐ is not required, as the application was filed in English.</li> <li>c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements</li> </ul>								
	Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.  Translation verification attached (not required now).								

09/869185 Page 2 of 3

RE: U	SA Natio	onal Filing Of PCT	/JP00/07491		יית וויים הגמו	1770 O E 1111 0001		
<b>11</b> .	⊠ a. ⊠	This application	is the national pha	se of interna	ne by inserting as a separa tional application PCT/JP00			
	b. 🗌	This application			S Provisional Application No.			
12.		60/, filed Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., _before 18th month_ from first priority date above in item 3, are transmitted herewith (file only if in English) including:						
13.	$\boxtimes$	PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau						
14.		Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).						
15.	A dec a. ⊠ b. □		with 🖾 Ori	ginal required by t	Facsimile/Copy the forthcoming PTO Missir box 4(b) is X'd.	ng Requirements Notice		
16.			European Patent ted by the internation	onal Bureau t	Japanese Patent Office to PTO. nily members ( pg(s).).	☐ Other		
T. THE STATE OF TH	b c.1 _ c.2 _ d	International Bure copy herewith in_ IPER Annex(es) during Examinati Specification/clai Dwg Sheets#	itted (if this letter is eau with Annexes ( English. in original language on) including attach m pages # cl	filed after 28 if any) in orig e ("Annexes" ned amended aims # (required by	are amendments made to	claims/spec/drawings		
8.	Inforn a. ⊠ b. □ c. ⊠	Attached copies	TO-1449 listing doo of documents listed	cuments on Form PT	O-1449 nces is given in the ISR.			
<b>1</b> 9.	Assignment document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.							
20.		Copy of Power to IA agent.						
21.		Drawings (complete only if 8d or 10a(4) not completed): sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"						
22. 22(a)	Small Entity Status → ☑ is <b>Not</b> claimed ☐ is claimed ( <b>pre-filing</b> confirmation required) ———— (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)							
23.	filed in in (cou	the International Apuntry) JAPAN of:	oplication during the	e internationa	d on the priority claim and the last stage based on the filing	• • •		
(1) <sub>-</sub> (3)	JP 304	pplication No. 185/1999	26 October 199	9 (2) _	Application No.	Elling Date		
(5)				(6)_				
	a. 🛚		IB/304 sent to US/E proceed promptly		of priority documents. If co	py has not been		
	b. 🛛		CT/IB/304 attached		is ilvili liis ID.			

Page 3 of 3

Atty/Sec:

RE: US	SA Na	itiona	l Filing	of PCT/JP	00/07491			<b>JC18</b> Rec'd	PCT/PTO	2.5.	IIIN 2001
24.	Atta	ched			ry Amendm ce Listing: [						2001
25.	Pre	limin	ary An	nendment:							
25.5	Per	ltem	17.c2,	cancel ori	<b>ginal</b> page	s #, cla	ims #, Dra	awing Sheets	<b>#</b>		
26. Based							<mark>371 (c)(1)) and c</mark> 14,				
Total E Indeper If any p	ndent	Clair	ns	_	tiple Depen	minus 20 = minus 3 = dent claim is	present,	x \$18/\$9 x \$80/\$40 add\$270/\$1	= \$0		966/967 964/965 968/969
BASIC	NATI	ONA	L FEE	(37 CFR 1	.492(a)(1)-(	4)): <b>→→</b> BA	SIC FEE REQU	IRED, <u>NOW</u> -	* <del>*</del>		
A.	If co	untry	code l	etters in ite	m 1 are <u>no</u>	t "US","BR","	BB","TT","MX","I	L" "NZ", "IN" c	r "ZA" <b>J</b>		
<b>等</b> 痛	<u>See</u> 1. 2.	Sea					<u>PO</u>			0.00	960/961 970/971
SKIP B,	C, D	AND	E UNLE	SS country	code lette	rs in item 1 ar	e "US","BR","BE	B","TT","MX","	IL", "NZ", "	IN" or "Z	<u>A"</u>
→ (X)		B.	(ISR)	and (if box	4(b) above	is X'd) the Ir	l Search Report iternational	add\$970/\$4	85 +0		960/961
(only) (one)→ (of) (these)		C.	If <u>USF</u> X'd),	PTO issued	ISR but no	ot IPER (or bo	ox 4(a) above is	add\$710/\$3	55 <u>+0</u>		958/959
(boxes)		D.					boxes <u>not all</u> 3	add\$690/\$3	45 <u>+0</u>		956/957
<b>→</b>		E.	USPT	O and Rule	es 492(a)(4	) and 496(b)	ee was paid to satisfied (IPER	add \$100/\$	50 <u>+0</u>		962/963
27.								SUBTOTAL	.= \$860	0.00	_
28.	if As	signr	nent bo	ox 19 above	e is X'd, ad	d Assignmen	t Recording fee	of\$40	+40.	.00	(581)
29.	Atta	ched	is a ch	eck to cove	er the			TOTAL FE	\$ \$900	0.00	=
		Depo Ordei		ount No. 50		221 M#			**		
filed, or whi or hereafter <u>duplicate</u> co	ich shou relative opy of th	ld have to this a is sheet	been filed application is attache	herewith or cond and the resulting d.	erning any pape g Official docume	r filed hereafter, and ent under Rule 20, o	fically authorized hereaftr I which may be required r credit any overpayment n issue fee transmittal	under Rules 16-18 an t, to our Account/Orde	d 492 (missing c	or insufficient	fee only) now
					2000 M	<b>Denison &amp; S</b> Street N.W., 1 ton, DC 200	7 <sup>th</sup> Floor				
					By Atty:	Paul E. W	E. Wa	to, Ja	Reg. No.	32,011	
Atty/Sed	<b>:</b> :	1			Sig:	raui E. W	mite, Jr.		Fax: Tel:		37-0336 51-1050

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of

ASHIKARI, et al.

Group Art Unit: Not Assigned

Appln. No.: Not Assigned

Examiner: Not Assigned

Filed: June 25, 2001

Title: METHOD OF BREEDING YEAST

June 25, 2001

# PRELIMINARY AMENDMENT TO BE ENTERED PRIOR TO CALCULATION OF FILING FEE

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Please enter the following Preliminary Amendment of the subject application prior to calculation of the filing fee of this application.

#### IN THE CLAIMS:

Please amend claim 6 as follows (see the attached Appendix for the changes made to effect the below claim):

Claim 6. (Amended) A yeast of the genus Saccharomyces transformed by the method of claim 3.

#### REMARKS

This Preliminary Amendment revises the multiple dependent claim 6 to be a single dependent claim in order to reduce the filing fee.

No new matter has been added.

### ASHIKARI et al. - New Appln. Filed June 25, 2001

Entry of this amendment and favorable consideration of this application are respectfully requested.

Respectfully submitted,

MANELLI DENISON & SELTER, PLLC

By Paul E. White, Jr.

Reg. No. 32,011

Tel. No.: (202) 261-1050 Fax No.: (202) 887-0336

2000 M Street, N.W. Seventh Floor Washington, D.C. 20036-3307 (202) 261-1000

# **APPENDIX**

# **VERSION WITH MARKINGS TO SHOW CHANGES MADE**

## IN THE CLAIMS:

Proposed Amendments To Claim 6 Showing Deletions And Insertions.

Claim 6. (Amended) A yeast of the genus Saccharomyces transformed by the method of [any one of claims 3 to 5] claim 3.

#### SPECIFICATION

#### METHOD OF BREEDING YEAST

#### FIELD OF THE INVENTION

The present invention relates to a method for preparing a transformant lacking a selective marker gene using site-specific recombination of yeast. After a gene of interest is transferred into yeast by using this method, a transformant of yeast deprived of a selective marker gene and transfected with a desired character can be obtained. Yeasts obtained by transformation methods of the present invention can be used to produce liquors or bread based on yeasts of the genus Saccharomyces, and are especially useful in producing beer.

15

20

25

#### PRIOR ART

Although many gene transfer methods concerning yeasts have been reported, all of these methods require a selective marker for selecting a transformant because of low gene transfer efficiency. Selective markers include those restoring auxotrophy, but typically consist of resistance genes to drugs such as antibiotics because auxotrophy is often difficult to confer to yeasts. However, it would be desirable to remove and reuse selective marker genes to repeatedly transform the same strain because few classes of drug resistance genes can be efficiently used in yeast. It would be also desirable to remove selective marker genes from transformants from the aspect of safety

in commercialization of recombinants.

In order to solve these problems, methods for removing selective marker genes from transformants have been developed. An example of these methods uses sitespecific recombination.

Site-specific recombination occurs when a recombinase acts on two recognition sequences consisting of specific nucleotide sequences recognized by said recombinase to induce recombination between said recognition sequences.

These recombinations invite such events as deletion, insertion or inversion according to the arrangement of a pair of recognition sequences. Four site-specific recombinations are known, ie, Cre/lox derived from bacteriophage P1, FLP/FRT derived from Saccharomyces cerevisiae, R/RS derived from Zygosaccharomyces rouxii and Gin/gix derived from bacteriophage Mu (each designated by the combination of a recombinase and the specific

nucleotide sequence recognized by the recombinase).

Saccharomyces cerevisiae is known to have a cyclic

double-stranded DNA called the 2 µm plasmid in the cells,
and the presence of a site-specific recombination mechanism
in the 2 µm plasmid has been shown (Broach, J.R., Guarascio,
V.R. and Jayaram, M., Cell, 29, 227-234, 1982). The 2 µm
plasmid is a cyclic plasmid of 6318 bp, which is known to
have a pair of inverted repeats of 599 bp in its molecule
and to undergo site-specific recombination between these
inverted repeats. The recombination site between these
inverted repeats contains a spacer sequence of 8 bp flanked

10

15

by short inverted repeats of 13 bp containing one mismatch (FRT sequences) and followed by another 13-bp inverted repeat at one end. Site-specific recombination occurs when a recombinase (Flp protein) expressed by the FLP gene encoded by the plasmid itself acts on the FRT sequences consisting of a specific nucleotide sequence present in the recombination site in the inverted repeats.

A known FRT sequence is a 34-bp sequence consisting of a spacer sequence of 8 bp and inverted repeats of 13 bp (J.F. Senecoff, R.C. Bruckner, and M.M. Cox, Proc. Natl. Acad. Sci. USA, 82, 7270-7274, 1985). However, this 34-bp FRT sequence is not suitable for commercial use because site-specific recombination using this sequence leaves recognition sequences of the recombinase on chromosomes after recombination so that undesired recombination may be induced.

Thus, there was a need for suppressing recombination between recognition sequences left on chromosomes after recombination.

Some groups reported excision of selective marker genes using site-specific recombination with a recombinase and the recognition sequences of the recombinase, such as excision of selective marker genes using the FLP/FRT system in Saccharomyces serevisiae (F. Storici, M. Coglievina and C.V. Bruschi, Yeast, 15, 271-283, 1999). Storici et al. used the kanMX4 gene or URA3K1 gene as a selective marker gene to transform Saccharomyces serevisiae by integrating the selective marker gene between FRT sequences recurring

10

15

20

25

in the same orientation in the case of Cir strains carrying the 2 µm plasmid or integrating the selective marker gene together with the FLP gene between similar FRT sequences in the case of Ciro strains lacking the 2 µm plasmid. The resulting transformant was cultured in a non-selective medium to induce recombination between FRT sequences so that the selective marker gene was successfully removed. Taking advantage of the fact that a nucleotide change in the core (a 8-bp spacer sequence) of an FRT sequence induces recombination with a sequence having the same nucleotide change but suppresses recombination with a sequence having another nucleotide change, they suppressed undesired recombination between FRT sequences left on chromosomes by using an FRT sequence having a different nucleotide change at each run of repeated transformation and excision of a selective marker However, the selective marker gene excision gene. efficiency of their method is as low as 0.01% - 1.39% and thus it is not easy to select strains deprived of selective marker genes. Moreover, the number of nucleotide changes

A method using the Zygosaccharomyces rouxii-derived site-specific recombination system R/RS in Saccharomyces cerevisiae was also developed (JPA 66587/98). According to this document, a selective marker gene and the R gene linked to a galactose-inducible promoter were integrated between RS sequences recurring in the same orientation to transform Saccharomyces cerevisiae. Several nucleotides

at the core of a FRT sequence is limited.

10

15

20

25

were deleted from the outside of each of a pair of RS sequences flanking the R gene and the selective marker gene to suppress undesired recombination with RS sequences left after recombination. However, this method involved introducing a foreign gene for recombinase (R gene).

Moreover, the excision efficiency of the selective marker gene varies with the strain of yeast to be transformed, and it is not easy to select strains deprived of a selective marker gene especially in commercial strains such as brewer's yeasts and wild-type yeasts due to the low excision efficiency of the selective marker gene.

A sequence which inhibits growth of cells when it is highly expressed in Saccharomyces cerevisiae (growth inhibition sequence) has been reported. Excision of selective marker genes with such a sequence has already been reported (M. Kawahata et al., Yeast 15, 1-10, 1999). Kawahata et al. inserted the URA3 gene and a growth inhibition sequence linked to a galactose-inducible promoter between the E. coli-derived hisG sequences of about 1.2 kb recurring in the same orientation and used this construct for transformation. They inserted the construct into a chromosome and then cultured it in a medium containing galactose to successfully remove the selective marker gene at an efficiency of 96% or more. However, this method is not suitable for commercial use because the selective marker gene is excised by homologous recombination to leave an unnecessary long sequence as an excision mark of the selective gene on the chromosome.

Accordingly, it is an object of the present invention to provide a method for preparing a transformant lacking a selective marker gene and efficiently expressing a desired gene of interest. It is also an object of the present invention to apply thus prepared transformant yeast to the production of liquors or bread, and especially beer.

#### DISCLOSURE OF THE INVENTION

We found a method for preparing a yeast transformant

lacking a selective marker gene by combining FRT sequences

and a growth inhibition sequence in order to solve the

above problems.

Specifically, the present invention provides a DNA construct comprising:

- 15 (1) a selective marker gene,
  - (2) a galactose-inducible growth inhibition sequence,
  - (3) a pair of FRT sequences in the same orientation flanking (1) and (2), and
- (4) a DNA fragment capable of recombining with a

  20 yeast chromosomal DNA located at each end of (3),
  wherein said FRT sequences contain the following sequence:
  5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' (SEQ ID NO: 1)

inverted spacer inverted

- repeat (1) sequence repeat (1)
- or a sequence substantially identical to said sequence, provided that in each member of said pair of FRT sequences, the inverted repeat distal from the flanked selective marker gene and growth inhibition sequence has at least one

10

25

but no more than six nucleotides deleted on the side distal from the spacer sequence.

The present invention also provides a method for transforming a yeast of the genus Saccharomyces, comprising:

- (1) transferring said DNA construct into yeast cells to integrate said DNA construct into a yeast chromosome by recombination between a DNA fragment capable of recombining with a yeast chromosomal DNA present in said DNA construct and the yeast chromosomal DNA,
- (2) selecting yeast cells transfected with said DNA construct based on the expression of a selective marker gene contained in said DNA construct,
- (3) culturing said cells in a non-selective medium to

  15 induce recombination between a pair of FRT sequences

  contained in said DNA construct, thereby excising the

  selective marker gene, and
  - (4) culturing said cells in a medium containing galactose to select growable yeast cells.
- The present invention also provides a yeast of the genus Saccharomyces obtained by using said transformation method.

The present invention also provides a method for producing a beer using said yeast of the genus Saccharomyces.

The present invention also provides a beer obtained by said method.

20

25

#### BRIEF DESCRIPTION OF THE DRAWING

FIG. 1 is a schematic view showing the construction of plasmid pPUFRT1-101 containing wild-type FRT sequences.

FIG. 2 is a schematic view showing the construction of plasmid pPUFRT3-103.

FIG. 3 shows a pair of FRT sequences used in the DNA construct prepared in Example 1 and reconstructed sequences generated by recombination from these sequences.

FIG. 4 is a schematic view showing the construction of plasmid pPUGINFRT3-103.

FIGS. 5A and 5B are schematic views showing the construction of plasmid pPPGINFRT3.

FIG. 6 is a schematic view showing the removal of a selective marker gene by site-specific recombination using a DNA construct of the present invention.

FIG. 7 is a schematic view showing that the sequence remaining after recombination is hardly recognized by the FLP gene product when a pair of FRT sequences each lacking several outer nucleotides viewed from the selective marker gene and growth inhibition sequence is used.

#### THE MOST PREFERRED EMBODIMENTS OF THE INVENTION

A DNA construct of the present invention and a method for transforming a yeast of the genus Saccharomyces using it are schematically shown in Fig. 6. As shown in Fig. 6, the DNA construct of the present invention comprises:

- (1) a selective marker gene,
- (2) a galactose-inducible growth inhibition sequence

15

25

(GIN),

- (3) a pair of FRT sequences in the same orientation flanking (1) and (2), and
- (4) a DNA fragment capable of recombining with a yeast chromosomal DNA located at each end of (3).

The FRT sequences in the DNA construct of the present invention contain the following sequence:

5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' (SEQ ID NO: 1)

inverted spacer inverted

repeat (1) sequence repeat (1) or a sequence substantially identical to said sequence, provided that in each member of said pair of FRT sequences, the inverted repeat distal from the flanked selective marker gene and growth inhibition sequence has at least one but no more than six nucleotides deleted on the side distal from the spacer sequence. The number of nucleotide deletions may be the same or different in the respective members of said pair of FRT sequences.

Thus, one FRT sequence (a) of a pair of FRT sequences

in Fig. 6 lacks 1-6 nucleotides distal from the spacer

sequence in the inverted repeat distal from the selective

marker gene and growth inhibition sequence.

The other FRT sequence (FRT sequence (b) in Fig. 6) lacks 1-6 nucleotides distal from the spacer sequence in the inverted repeat distal from the selective marker gene and growth inhibition sequence.

In FRT sequences in the DNA construct of the present invention, the number of nucleotides that can be "deleted"

10

15

20

25

in the above sense of the term is 1-6, preferably 2-5, more preferably 3-5, of the nucleotides (13 in total) that form the repeat adjacent to the spacer sequence. Deletion of more than 6 nucleotides is not preferred because DNA recombination very scarcely occurs.

The FRT sequence used in the present invention contains a sequence shown as SEQ ID NO: 1 wherein one inverted repeat is shortened. However, the inverted repeat opposed to the shortened inverted repeat desirably maintains 13 nucleotides and may be extended by an additional repeat. Similarly to natural FRT sequences having a structure containing the sequence shown as SEQ ID NO: 1 extended by a 13-bp repeat at one end, the inverted repeat opposed to the inverted repeat shortened as above may also be repeated in FRT sequences of the present invention.

FRT sequences used in the present invention include not only those having the sequence defined above but also those having a nucleotide sequence substantially identical to said sequence. As used herein, the "substantially identical sequence" means a sequence that can be recognized by the Flp protein to induce recombination between FRT sequences, such as a nucleotide sequence obtained by modifying the sequence defined above by substitution, deletion or addition of one or several nucleotides.

In pPUGINFRT3-103 (Fig. 4) representing the structure of an example of DNA construct of the present invention, FRT3 (SEQ ID NO: 5) in Fig. 4 of a pair of FRT sequences

10

15

lacks 5 nucleotides distal from the spacer sequence in the inverted repeat distal from the selective marker gene and the growth inhibition sequence while 13 nucleotides are maintained in the inverted repeat proximal to the selective marker gene and growth inhibition sequence.

In pPUGINFRT3-103 shown in Fig. 4, the arrows shown near FRT3 and FRT103 indicate their orientation.

Specifically, FRT3 in Fig. 4 is inserted with the 3' end of FRT3 in Fig. 3 being on the PRA side and the 5' end in Fig. 3 being on the URA3 side. FRT103 in Fig. 4 is inserted with the 3' end of FRT103 in Fig. 3 being on the GIN11M86 side and the 5' end in Fig. 3 being on the PRA-tail side.

The other FRT sequence (FRT103 in Fig. 4) (SEQ ID NO: 6) lacks 4 nucleotides distal from the spacer sequence in the inverted repeat distal from the selective marker gene and the growth inhibition sequence, while 13 nucleotides are maintained in the inverted repeat proximal to the selective marker gene and the growth inhibition sequence.

When a recombinase expressed by the FLP gene on the 20 2 µm plasmid carried by yeast acts on the DNA construct having a pair of FRT sequences as described above, DNA recombination occurs.

As a result, the selective marker gene and the growth inhibition sequence are removed and a sequence is

25 reconstructed in which the shortened region of one FRT sequence (FRT3, for example) and the shortened region of the other FRT sequence (FRT103, for example) are fused.

This reconstructed sequence has a shortened inverted repeat

10

15

20

at each end of the spacer sequence, such as FRT3W sequence shown in Fig. 3 (SEQ ID NO: 7) reconstructed from FRT3 sequence and FRT103 sequence.

A pair of FRT sequences used in a DNA construct prepared in the examples below and sequences reconstructed by recombination from these sequences are illustrated in Fig. 3. Among them, combinations FRT2/FRT102 and FRT3/FRT103 are preferred pairs of FRT sequences for use in DNA constructs of the present invention. However, it was found that when the combination of FRT4 and FRT104 was used as a pair of FRT sequences to prepare a DNA construct, each of which lacks 7 nucleotides distal from the spacer sequence in the inverted repeat distal from the selective marker gene and the growth inhibition sequence, the resulting DNA construct showed a low recombination efficiency.

As shown in Example 1, the FRT sequence having an inverted repeat shortened only at one side of the spacer sequence induces recombination by the action of the FLP gene product on the 2  $\mu m$  plasmid carried by yeast, but the FLP gene product-induced recombination is less likely to occur when the inverted repeats on both sides are shortened (such as FRT3W sequence).

In DNA constructs of the present invention, the

selective marker gene can be excised and yeast cells
capable of growing in a medium containing galactose can be
selected by combining said FRT sequences and galactoseinducible growth inhibition sequence.

15

The galactose-inducible growth inhibition sequence as used herein means a sequence encoding an RNA or a protein which inhibits growth of cells cultured in a medium containing galactose. For example, GIN11 used in the examples of the present invention was isolated as one of DNA fragments that inhibit cell growth when they are highly expressed in cells of the yeast Saccharomyces (R. Akada et al., Mol. Gen. Genet., 254, 267-274, 1997). Other genes or DNA sequences than GIN11 that suppress or inhibit cell growth can also be used, such as GIN4, URA2, BNI1, PSP1, BOI1, RBP1, SAC7, TPK3, PRK1 (R. Akada et al., Mol. Gen. Genet., 254, 267-274, 1997), ACT1, ARF2, ATE1, AUA1, ERG6, HSF1, MCM1, NHP6A, NTH1, RHO1, SEC17, SIR1, SRP40 (C. Espinet et al., Yeast, 11, 25-32, 1995).

In order to integrate a growth inhibition sequence into a DNA construct of the present invention, it can be linked to an appropriate promoter such as the GAL1 promoter and inserted.

A selective marker gene and a growth inhibition

20 sequence are flanked by a pair of FRT sequences and it does
not matter whether the selective marker gene or the growth
inhibition sequence is located upstream.

The selective marker gene used may be any selective marker gene suitable for use in yeast, such as the

25 geneticin resistance gene selectable in geneticincontaining medium or other drug resistance genes such as
cerulenin resistance gene, cycloheximide resistance gene,
or auxotrophy-based selective marker genes such as URA3,

15

20

25

LEU2, TRP1 or HIS4. As shown in the examples described later, selective marker genes, whether they were based on auxotrophy or drug resistance, could be efficiently excised in the present invention by inducing recombination between the FRT sequences in pair that are contained in DNA constructs of the present invention.

The DNA fragment capable of recombining with a yeast chromosomal DNA is a DNA fragment having homology with a part of a gene on a yeast chromosome, and the gene on a yeast chromosome is such a gene that the growth of yeast is not inhibited even if it is broken, such as protease A gene, ribosomal DNA gene, CYC7 gene.

In the present invention, a gene of interest is preferably inserted between a DNA fragment capable of recombining with a yeast chromosomal DNA and an FRT sequence adjacent to said DNA fragment.

DNA constructs of the present invention can be prepared by methods known to those skilled in the art, specifically the method described in Sambrook et al., Molecular Cloning (Cold Spring Harbor Laboratory Press, 1989), for example.

The present invention also provides a method for transforming a yeast of the genus Saccharomyces using said DNA construct. This method comprises:

(1) transferring said DNA construct into yeast cells to integrate said DNA construct into a yeast chromosome by recombination between a DNA fragment capable of recombining with a yeast chromosomal DNA present in said DNA construct

20

5

and the yeast chromosomal DNA,

- (2) selecting yeast cells transfected with said DNA construct based on the expression of a selective marker gene contained in said DNA construct,
- (3) culturing said cells in a non-selective medium to induce recombination between a pair of FRT sequences contained in said DNA construct, thereby excising the selective marker gene, and
- (4) culturing said cells in a medium containing10 galactose to select growable yeast cells.

This transformation procedure can be repeated a plurality of times to trasnfer a plurality of genes of interest into a yeast chromosome using the same selective marker gene as described below. In said method, the DNA construct can be transferred into yeast cells as a DNA fragment consisting of or containing said DNA construct or as a plasmid bearing said DNA construct. This transfer can be accomplished by any known methods such as the lithium acetate method, lithium chloride method, protoplast method, etc.

Suitable yeasts of the genus Saccharomyces include Saccharomyces cerevisiae, Saccharomyces carlsbergensis, Saccharomyces bayanus, Saccharomyces pastrianus, Saccharomyces diastaticus, etc.

Then, the selective marker gene contained in the DNA construct is expressed to select yeast cells transfected with said DNA construct from transformants. Then, these cells are cultured in a non-selective medium such as a

10

15

20

25

complete nutrient medium, YPD medium (2% glucose, 2% peptone, 2% yeast extract) to induce recombination between a pair of FRT sequences in some cells by the action of the Flp recombinase, which is an expression product of the FLP gene in yeast cells. Cells that have not undergone recombination between FRT sequences are inhibited from growth in a medium containing galactose, which induces expression of a growth inhibition sequence. Therefore, cells capable of growing in a medium containing galactose have undergone recombination between FRT sequences integrated on a chromosome so that the selective marker gene and the growth inhibition sequence inserted between said FRT sequences have been removed. Thus, preferred transformant yeast cells deprived of the selective marker gene can be obtained.

According to the method of the present invention, transformation is performed using a DNA construct containing an expressible selective marker gene and a galactose-inducible growth inhibition sequence inserted between FRT sequences in the same orientation, eg, a DNA fragment, plasmid or other vectors, and a pair of FRT sequences as defined above are used to make the sequence remaining after recombination to be hardly recognized by the FLP gene product, whereby the possibility of inducing undesired recombination is reduced and the selective marker gene can be specifically removed from transformants to give desired transformants (see Fig. 7). In the present invention, any foreign recombinase gene need not be

10

15

20

25

introduced because the FLP gene is present on the 2  $\mu m$  plasmid of yeast itself, as described above.

The use of the method of the present invention allows selective marker genes to be removed without needing subcultures or additional transformation or mating. Safety evaluation on selective marker genes can be omitted so that development period can be shortened and development costs can also be reduced. A transformant lacking a selective marker gene can be transformed again with the same selective marker gene so that a plurality of genes can be repeatedly introduced. When a gene of interest encoding a useful protein is to be introduced into the chromosome of yeast, the method of the present invention can be used as follows.

In DNA constructs of the present invention, a DNA fragment capable of recombining with a yeast chromosomal DNA (also sometimes referred to as yeast chromosomal recombination region) is directly or indirectly linked to each end of a DNA fragment containing a pair of FRT sequences arranged as above to flank the pair of FRT sequences. When the FRT sequences and a right or left border are indirectly linked, a gene of interest to be integrated into the yeast chromosome is inserted between them (see Fig. 6). Once this DNA construct is transferred into yeast, recombination occurs between the yeast chromosomal recombination region of this DNA construct and the chromosomal gene corresponding to yeast, whereby the DNA construct is integrated as a whole into the yeast

10

15

20

25

chromosomal DNA.

Then, this yeast is cultured to produce the FLP gene product on the 2 µm plasmid of yeast itself, which acts on the FRT sequence to induce site-specific recombination between a pair of FRT sequences as described above, whereby the region flanked by said pair of FRT sequences (the region containing a selective marker gene and a growth inhibition sequence) is removed and the gene of interest between the FRT sequences (cut at both ends) fused by recombination and yeast chromosomal recombination region remains as integrated in the yeast chromosomal gene. Said FRT sequence cut at both ends undergoes no more recombination so that the gene of interest inserted is stably kept in the yeast chromosome.

Thus, according to the present invention, a gene of interest is inserted into a yeast chromosome and then a selective marker gene (as well as a growth inhibition sequence) is removed and FRT sequences get out of function. Therefore, after a gene of interest is once introduced, the gene of interest can be further introduced using a gene transfer vector containing the same selective marker gene (DNA construct of the present invention).

The present invention also provides a method for producing liquors, especially beer using a yeast stably transfected with a gene of interest by the method described above. Genes of interest may be various genes for improving the quality of beer or brewing processes.

For example, off flavor is generated by the

metabolism of yeast during beer brewing. The amount of such off flavor generated can be decreased or deleted by controlling the expression of a specific gene for brewing yeast. For example, off flavor of beer is derived from hydrogen sulfide and VDK (diacetyl and 2,3-pentadione). Hydrogen sulfide is an intermediate metabolite of the sulfur assimilation path and it is difficult to control its generation during beer brewing using typical brewer's However, it was reported that the generation of hydrogen sulfide during beer brewing can be controlled by 10 overexpressing the MET25 gene of brewer's yeasts via gene recombination techniques (JPA 303475/95). Another example of off flavor VDK is an intermediate metabolite of the branched amino acid synthesis path, and it was also 15 reported that VDK generation can be controlled by overexpressing the ILV5 gene of yeast via gene recombination techniques (S.M. Mithieux and A.S. Weiss, Yeast 11:311-316, 1965).

As described above, some yeast breeds with controlled off flavor using gene recombination techniques are known from documents, but no breed has been applied to commercial production in actual plants. A reason why valuable bred yeasts are not applied commercial production lies in the presence of DNA fragments derived from selective marker genes or microorganisms other than yeasts in the DNA of recombinant yeast.

In the present invention, undesirable sequences can be removed after transformation by inserting FRT sequences

25

at both ends of selective marker genes or other undesirable DNA sequences. As a result, selective marker genes can be reused, and therefore, a plurality of genes can be introduced into brewer's yeasts with few kinds of selective marker genes, whereby the variety of brewer's yeast breeds is considerably broadened. For example, the ILV5 gene and MET25 gene mentioned above as different breeding examples can be individually introduced into the same yeast cells to attain yeast breeds in which generation of both hydrogen sulfide and VDK is controlled. In addition, transformed brewer's yeasts obtained by the present invention, which contain no DNA sequences other than those of brewer's yeasts, may readily find social acceptance so that they can be applied to commercial production.

15 Obviously, the present invention can be applied to not only yeast breeds in which off flavor is removed but also other breeds in which brewing efficiency is improved. For example, efficient brewing can be achieved by enhancing the transporter of sugars (Y. Kodama, J. Am. Soc. Brew.

Chem., 53:24-29, 1995) or amino acids by gene recombination 20 to improve fermentation speed or conferring resistance to stresses such as osmosis or alcohols by genetic engineering to produce highly concentrated alcohols. The present invention can also be applied to these breeds to produce similar effects to those described for removal of off flavor.

In the present invention, it was confirmed that selective marker genes can be efficiently removed in not only haploid yeasts but also brewer's diploid yeasts, which promises the application of the present invention to actual beer brewing.

#### 5 EXAMPLES

The following examples further illustrate the present invention. However, these examples are given only for illustrative purpose but not intended to limit the scope of the invention. Experimental procedures were based

10 Molecular Cloning of Sambrook et al. (Cold Spring Harbor Laboratory Press, 1989) unless otherwise specified.

Example 1: Study of excision efficiency of a selective marker gene using FRT sequences

15 (1) Construction of a plasmid containing wild-type FRT sequences

The following 4 oligonucleotides were synthesized to insert FRT sequences into the plasmid (with wild-type FRT sequences underlined).

- 20 FRT1-a
  - 5'-TCGACGAAGTTCCTATACTTTCTAGAGAATAGGAACTTCG-3' (SEQ ID NO: 11) FRT1-b
  - 5'-AATTCGAAGTTCCTATTCTCTAGAAAGTATAGGAACTTCG-3'(SEQ ID NO: 12) FRT101-a
- 25 5'-AGCTTGAAGTTCCTATACTTTCTAGAGAATAGGAACTTCGCATG-3' (SEQ ID NO: 13)

FRT101-b

5'-CGAAGTTCCTATTCTCTAGAAAGTATAGGAACTTCA-3' (SEQ ID NO: 14).

10

25

After phosphorylation of the ends of these synthetic DNAs, FRT1-a was annealed to FRT1-b and FRT101-a was annealed to FRT101-b, and the former annealed product was inserted at a restriction endonuclease EcoRI-SalI site and then the latter annealed product was inserted at a restriction endonuclease SphI-HindIII site of pUC18 (Toyobo) to construct a plasmid pFRT1-101 (Fig. 1).

The selective marker gene used was the URA3 gene. Strains bearing the URA3 gene can be selected by the character of Ura<sup>+</sup> while ura3 strains deprived of the URA3 gene can be selected by 5-fluoroorotic acid resistance, so that ura3 strains can be used as hosts to readily determine both strains bearing and deprived of the selective marker gene URA3.

Separately, pUC18 was digested with restriction endonucleases EcoRI and SphI and blunt-ended with Blunting Kit (Takara Shuzo), and then self-ligated to construct pUC18HSp. A 1.2-kb restriction endonuclease HindIII fragment containing the URA3 gene of YEp24 (Botstein, D., et al., Gene, 8, 17, 1979) was inserted at this restriction endonuclease HindIII site to construct pURA34 (Fig. 1).

pFRT1-101 was digested with restriction endonuclease SphI and then blunt-ended with Blunting Kit (Takara Shuzo), and then ligated to a fragment of about 1.2 kb obtained by digesting pURA34 with restriction endonuclease HindIII and then blunt-ending it with Blunting Kit (Takara Shuzo) to construct a plasmid pURA3FRT1-101 (Fig. 1).

A DNA fragment of about 1.2 kb obtained by treating

pURA3FRT1-101 with restriction endonucleases EcoRI and
HindIII and a fragment of about 4.2 kb obtained by treating
pPRACerl1 (Fig. 1) with EcoRI and HindIII were ligated to
construct a plasmid pPUFRT1-101 (Fig. 1). pPRACerl1 was

5 constructed by inserting an HindIII-SalI fragment excised
from pHM153 (J. Bacteriol., 172, 610-618, 1990), the Cer
resistance gene (obtained as a DraI-KpnI fragment of about
1.7 kb of PDR4: Gene, 101, 149-152, 1991) flanked by a gap
terminator and a gap promoter (Appl. Microbiol. Biotechnol.,
32, 129-133, 1989), and protease A genes (PRA) consisting
of an SacI-EcoRI fragment and an HindIII-XhoI fragment of
plasmid CBZ1 (Mol. Cell. Biol., 6, 2500-2510, 1986) into

15 (2) Construction of plasmids containing FRT sequences
lacking several outer nucleotides viewed from the selective
marker gene as compared with wild-type FRT sequences

Then, plasmids in which the wild-type FRTs of pPUFRT1-101 are replaced by FRT sequences of various

lengths prepared with synthetic DNAs are prepared. The sequences of the synthetic DNAs used are shown below.

FRT2-a: 5'-CTAGAGAATAGGAACG-3' (SEQ ID NO: 15)

pBluescript SK+ (Toyobo).

FRT2-b: 5'-AATTCGTTCCTATTCT-3'(SEQ ID NO: 16)

FRT102-a: 5'-AGCTTGTTCCTATACTTT-3' (SEQ ID NO: 17)

25 FRT102-b: 5'-CTAGAAAGTATAGGAACA-3' (SEQ ID NO: 18)

FRT3-a: 5'-CTAGAGAATAGGAG-3' (SEQ ID NO: 19)

FRT3-b: 5'-AATTCTCCTATTCT-3'(SEQ ID NO: 20)

FRT103-a: 5'-AGCTTTCCTATACTTT-3' (SEQ ID NO: 21)

FRT103-b: 5'-CTAGAAAGTATAGGAA-3' (SEQ ID NO: 22)

FRT4-a: 5'-CTAGAGAATAGG-3' (SEQ ID NO: 23)

FRT4-b: 5'-AATTCCTATTCT-3'(SEQ ID NO: 24)

FRT104-a: 5'-AGCTTCTATACTTT-3' (SEQ ID NO: 25)

5 FRT104-b: 5'-CTAGAAAGTATAGA-3' (SEQ ID NO: 26).

Synthetic DNAs of the above sequences were 5' end-phosphorylated, and then annealed into combinations of FRT2-a/FRT2-b/FRT102-a/FRT102-b, FRT3-a/FRT3-b/FRT103-a/FRT103-b, and FRT4-a/FRT4-b/FRT104-a/FRT104-b, which were each inserted at an EcoRI-HindIII site of pUC18 to construct plasmids pFRT2w, pFRT3w (Fig. 2) and pFRT4w. In the process above, W sequences deleted at both ends were prepared by annealing 4 synthetic DNAs and inserted into a plasmid, among which a W sequence obtained from the combination of FRT3-a/FRT3-b/FRT103-a/FRT103-b is shown below, as an example.

3b

103b

3W 5'-AATTCTCCTATTCTCTAGAAAGTATAGGAA-3'

3'-GAGGATAAGAGATCTTTCATATCCTTTCGA-5'

20

10

15

3a

103a

A fragment of about 1.2 kb obtained by treating plasmid pURA3FRT1-101 with XbaI at an XbaI site of these plasmids (pFRT2w, pFRT3w, pFRT4w) to construct plasmids pURA3FRT2-102, pURA3FRT3-103 (Fig. 2) and pURA3FRT4-104.

These plasmids contain the selective marker gene URA3 between a pair of FRT sequences in the same orientation each lacking several outer nucleotides viewed from the selective marker gene as compared with the counterpart

wild-type FRT sequence.

Thus obtained FRT sequences and FRT sequences generated after excision with combinations thereof are listed in Fig. 3.

Fragments of about 1.2 kb obtained by treating these plasmids with EcoRI and HindIII were ligated to a fragment of about 4.2 kb obtained by treating pPRACerl1 with EcoRI and HindIII to construct plasmids pPUFRT2-102, pPUFRT3-103 (Fig. 2) and pPUFRT4-104.

10

15

20

25

5

(3) Examination of in vitro recombination frequency

A haploid yeast strain R27-7C-1C (MATα trp1 leu2 his3 ura3) was used. Transformation of yeast can be accomplished by the method using lithium chloride (Kodama, Y., et al., J. Am. Soc. Brew. Chem., 53, 24-29, 1995).

About 10  $\mu g$  each of pPUFRT1-101, pPUFRT2-102, pPUFRT3-103 and pPUFRT4-104 was treated with KpnI and SacI and ethanol-precipitated, and then dissolved in 10  $\mu l$  of TE buffer, and the total amount of the solution was used for recombination of the yeast to select strains transformed to Ura<sup>+</sup>. That is, yeast cells transformed as above are plated onto a Ura<sup>-</sup> selective medium (Yeast Nitrogen Base [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>] (DIFCO), 2% glucose, 0.01% leucine, 0.01% tryptophan, 2% agar), and incubated at 30°C for 72 hours.

Thus obtained transformed strains were cultured on YPD liquid medium at 30°C overnight to induce recombination between two FRT sequences integrated on a chromosome by a recombinase expressed by the FLP gene on the 2  $\mu m$  plasmid

carried by strain R27-7C-1C.

The culture medium was appropriately diluted in sterilized water and 100  $\mu l$  of the dilution was plated on YPD agar medium and an agar medium containing 5-

fluoroorotic acid (Yeast Nitrogen Base [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>] (DIFCO),
2% glucose, 0.005% uracil, 0.01% leucine, 0.01% tryptophan,
0.1% 5-fluoroorotic acid, 2% agar), and incubated at 30°C
for 48 hours, after which the number of appearing colonies
was counted. Cells capable of growing on the medium
containing 5-fluoroorotic acid are cells which have
undergone recombination. The results are shown in Table 1.

Table 1

FRT sequence	Recombination frequency
FRT1-FRT101 (wild-type)	1/3 - 1/2
FRT2-FRT102	1/10 <sup>3</sup> - 1/10 <sup>4</sup>
FRT3-FRT103	1/10 <sup>5</sup> - 1/10 <sup>6</sup>
FRT4-FRT104	< 1/10 <sup>7</sup>

- 15 It was shown from Table 1 that in vivo recombination efficiency is 1/2 or less even with a combination of wild-type FRT sequences and that the frequency sharply decreases as deletion increases.
- 20 Example 2: Study of excision efficiency of a selective

  marker gene using a combination of FRT sequences and GIN11
  - (1) Construction of plasmid pPUGINFERT3-103

Two oligonucleotides were synthesized to prepare a

10

15

25

plasmid containing GIN11.

GIN-1: 5'-TGGATCCGGAATTTCGACGGATCAATAAC-3' (SEQ ID NO: 27)
GIN-2: 5'-TTCTGCAGACTAGATGCACTCATATCATTATGCAC-3' (SEQ ID
NO: 28).

A fragment of about 0.7 kb obtained by PCR on plasmid pAUR135 (Takara Shuzo) as a template using these oligonucleotides as primers was treated with BamHI and PstI, and inserted at an EcoRI-PstI site of pUC19 together with a fragment of about 0.8 kb containing the GAL1 promoter obtained by treating pHM999 (JPA 66587/98) with EcoRI and BamHI to construct a plasmid pPGAL1GIN (Fig. 4) containing GIN11M86 (Takara Shuzo) linked to the GAL1 promoter.

A fragment of about 1.5 kb obtained by treating said plasmid with EcoRI and PstI was blunt-ended with Blunting Kit (Takara Shuzo) and then inserted at an SmaI site of pPUFRT3-103 to give a plasmid pPUGINFRT3-103 (Fig. 4).

(2) Removal of the selective marker gene using a laboratory strain

A haploid yeast strain R27-7C-1C (MATα trp1 leu2 his3 ura3) was used. A DNA fragment of about 4.1 kb (about 10 μg) obtained by treating plasmid pPUGINFRT3-103 with KpnI and SacI was used for recombination of the yeast and transformants were selected by the caracter of Ura<sup>†</sup>.

When the resulting transformants were cultured in a non-selective medium, some cells underwent recombination between FRT sequences by the action of the Flp recombinase present in the cells. However, cells that have not

25

undergone recombination between FRT sequences are inhibited from growing in a medium containing galactose, which induces expression of GIN11M86. Therefore, cells capable of growing in a medium containing galactose have undergone recombination between FRT sequences integrated on a chromosome so that the selective marker gene and GIN11M86 linked to the GAL1 promoter inserted between said sequences have been removed.

The resulting transformants were cultured in 10 ml of

YPGal liquid medium (2% peptone, 1% yeast extract, 2%

galactose) at 30°C for 24 hours to induce recombination

between FRT sequences and expression of GIN11M86. The

culture medium was appropriately diluted and plated on

YPGal agar medium and incubated at 30°C for 48 hours. One

15 hundred strains of the resulting colonies were cultured on

a 5-fluoroorotic acid agar medium and a Ura selective

medium at 30°C for 48 hours. As a result, all the strains

failed to grow only on the Ura selective medium. This

means that all the strains capable of growing on YPGal agar

medium have been deprived of the URA3 gene.

# Example 3: Removal of a drug resistance selective marker gene

(1) Construction of plasmid pPPGINFRT3

A selective marker gene PDR4 (cerulenin and cycloheximide resistance gene) and GIN11M86 linked to the galactose-inducible GAL1 promoter were flanked by site-specific recombination sequences (FRT3, FRT103). A

10

15

20

25

propert of about 1.5 kb obtained by treating plasmid properties and properties and properties and properties at an Hincii-Psti site of plasmid properties about 1.5 kb obtained by treating properties. A fragment of about 1.5 kb obtained by treating properties at an Xbai site of properties at an Xbai site o

A fragment of about 2.7 kb obtained by treating pPRACer11 with SphI and SalI was inserted at an SphI-SalI site of pUC18 and then treated with SalI and blunt-ended with Blunting Kit, and a pPstI linker (Toyobo) was inserted to construct a plasmid pPGAPDHPDR4 (Fig. 5A). A fragment of about 2.7 kb obtained by treating pPGAPDHPDR4 with SphI and PstI was inserted at an SphI-PstI site of pFRT3-103-GIN to construct a plasmid pFRT3-103-GINPDR4 (Fig. 5B). A fragment of about 4.2 kb obtained by treating this plasmid with EcoRI and HindIII and a fragment of about 4.2 kb obtained by treating pPRACer11 with EcoRI and HindIII were ligated to construct a plasmid pPPGINFRT3 (Fig. 5B).

This plasmid contains GIN11 linked to a galactose-inducible promoter and the PDR4 gene linked to a constitutive promoter of yeast, glyceraldehyde 3-phosphate dehydrogenase promoter inserted between FRT3 and FRT103.

(2) Removal of the selective marker gene using a laboratory strain

15

20

About 10  $\mu g$  of plasmid pPPGINFRT3 was treated with restriction endonucleases KpnI and SacI and ethanol-precipitated, and then dissolved in 10  $\mu l$  of TE buffer, and the total amount of the solution was used for

transformation. A haploid yeast strain R27-7C-1C was used as a host and transformed by the method using lithium chloride. Then, transformants were plated on a YPD plate containing 1  $\mu$ g/ml cycloheximide and cultured at 30°C for 2 days to select cycloheximide-resistant strains.

To excise the selective marker gene, a loop of transformants was inoculated on 10 ml of YPGal liquid medium and shaken-cultured at 30°C for 24 hours. The culture medium was appropriately diluted and then plated on a YPGal plate and incubated at 30°C for 2 days. A hundred of strains of the resulting colonies were randomly collected and replicated on a YPD plate containing cycloheximide to examine cycloheximide resistance.

As a result, 100 of 100 strains were sensitive to cycloheximide, suggesting that the selective marker gene was excised by site-specific recombination in these strains.

- (3) Removal of the selective marker gene using brewer's yeasts
- (3-1) First transformation and removal of the selective
  25 marker gene

Transformants were produced by the same procedure as used with laboratory strains. The host used was a diploid wild-type yeast strain AY-1 (MATa/ $\alpha$  wild type), though any

5

20

25

polyploid yeast strains may be used.

A loop of colonies of the resulting transformants was inoculated on 10 ml of YPGal liquid medium and shakencultured at 30°C for 24 hours. The culture medium was appropriately diluted and then plated on a YPGal plate and incubated at 30°C for 2 days. A hundred of strains of the resulting colonies were randomly collected and replicated on a YPD agar medium containing 1  $\mu$ g/ml cycloheximide to examine cycloheximide resistance.

As a result, 100 of 100 strains could not grow on the agar medium containing cycloheximide, suggesting that the selective marker gene was excised by site-specific recombination in these strains.

15 (3-2) Second transformation and removal of the selective marker gene

One of the strains deprived of the selective marker gene after transformation by the procedure above was used for second transformation. Procedures of transformation and removal of the selective marker gene were the same as those of the first run.

As a result, 100 of 100 strains could not grow on the agar medium containing cycloheximide, suggesting that the selective marker gene was excised by site-specific recombination in these strains.

#### CLAIMS

- 1. A DNA construct comprising:
  - (1) a selective marker gene,
  - (2) a galactose-inducible growth inhibition sequence,
- (3) a pair of FRT sequences in the same orientation flanking (1) and (2), and
- (4) a DNA fragment capable of recombining with a yeast chromosomal DNA located at each end of (3), wherein said FRT sequences contain the following sequence: 5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' (SEQ ID NO: 1)

inverted spacer inverted

- repeat (1) sequence repeat (1) or a sequence substantially identical to said sequence, provided that in each member of said pair of FRT sequences, the inverted repeat distal from the flanked selective marker gene and growth inhibition sequence has at least one but no more than six nucleotides deleted on the side distal from the spacer sequence.
- 2. The DNA construct of claim 1 wherein a gene of interest is inserted between the DNA fragment capable of recombining with a yeast chromosomal DNA and a FRT sequence adjacent to said fragment.
- 3. A method for transforming a yeast of the genus Saccharomyces, comprising:
- (1) transferring the DNA construct of claim 1 into yeast cells to integrate said DNA construct into a yeast chromosome by recombination between a DNA fragment capable of recombining with a yeast chromosomal DNA present in said

DNA construct and the yeast chromosomal DNA,

- (2) selecting yeast cells transfected with said DNA construct based on the expression of a selective marker gene contained in said DNA construct,
- (3) culturing said cells in a non-selective medium to induce recombination between a pair of FRT sequences contained in said DNA construct, thereby excising the selective marker gene, and
- (4) culturing said cells in a medium containing galactose to select growable yeast cells.
- 4. The method of claim 3 comprising inserting a gene of interest into a yeast chromosome using a DNA construct containing said gene of interest inserted between a DNA fragment capable of recombining with a yeast chromosomal DNA and an FRT sequence adjacent to said fragment.
- 5. The method of claim 4 wherein the step of claim 4 is repeated to introduce a plurality of genes of interest.
- 6. A yeast of the genus Saccharomyces transformed by the method of any one of claims 3 to 5.
- 7. A method for producing a beer comprising using the yeast of the genus Saccharomyces of claim 6.
- 8. A beer obtained by the method of claim 7.

15

20

### ABSTRACT

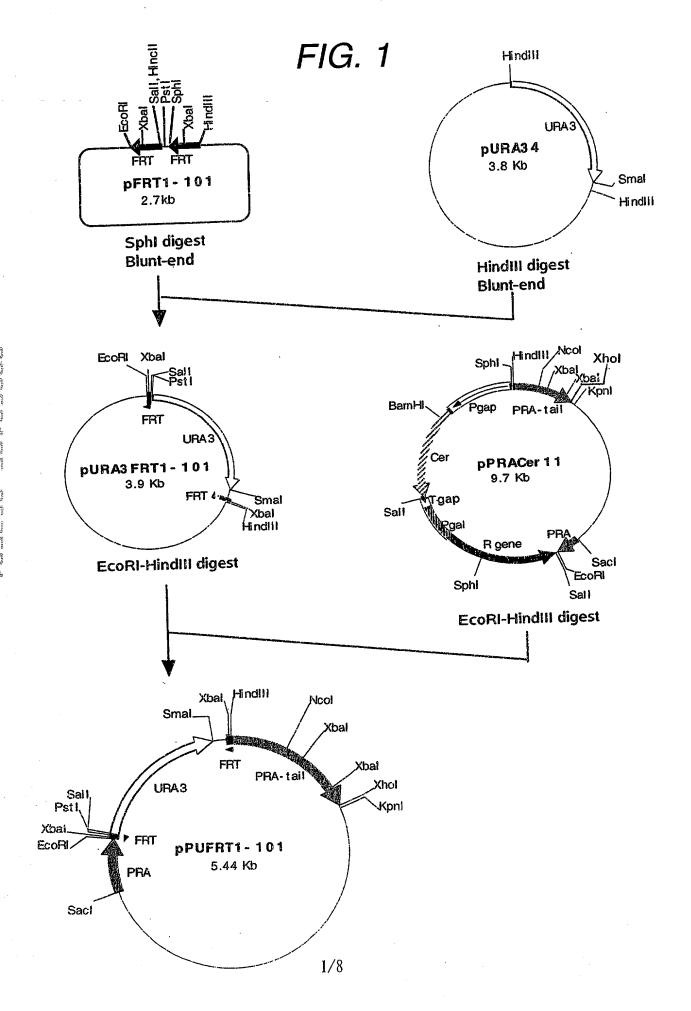
A DNA construct comprising:

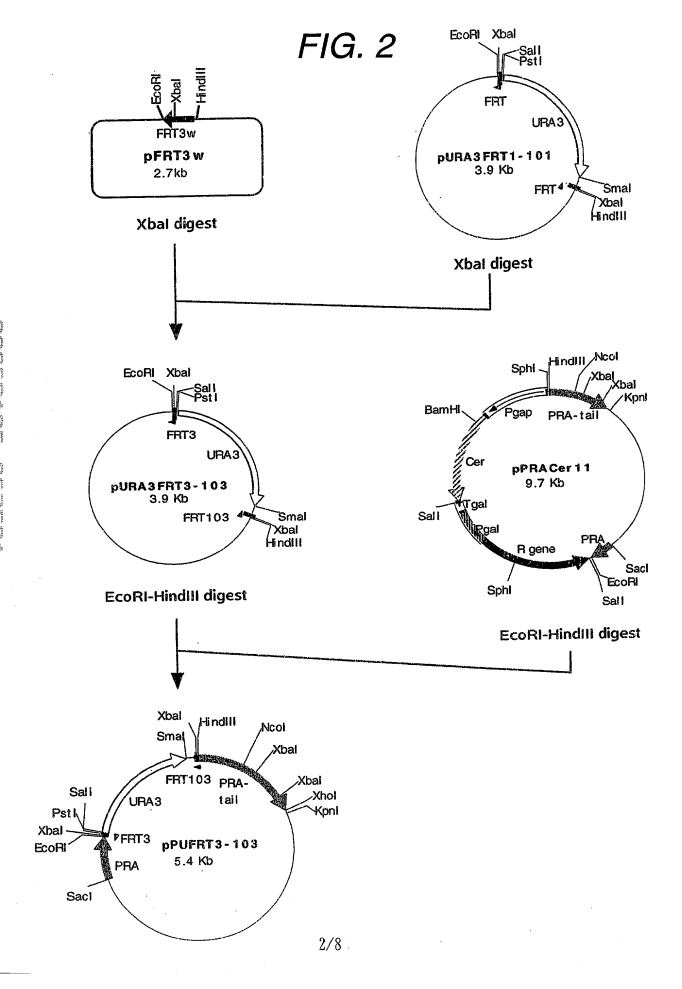
- (1) a selective marker gene,
- (2) a galactose-inducible growth inhibition sequence,
- 5 (3) a pair of FRT sequences in the same orientation flanking (1) and (2), and
  - (4) a DNA fragment capable of recombining with a yeast chromosomal DNA located at each end of (3), wherein said FRT sequences contain the following sequence:
- 10 5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' (SEQ ID NO: 1)
  inverted spacer inverted
  - repeat (1) sequence repeat (1)

    or a sequence substantially identical to said sequence,
    provided that in each member of said pair of FRT sequences,
    the inverted repeat distal from the flanked selective
    marker gene and growth inhibition sequence has at least one
    but no more than six nucleotides deleted on the side distal
    from the spacer sequence; a method for transforming a yeast
    of the genus Saccharomyces with said DNA construct; a yeast
    of the genus Saccharomyces transformed by said method; and

a method for producing a beer comprising using said yeast

of the genus Saccharomyces.





## FIG. 3

5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' 5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' 5'-GTTCCTATAC TTTCTAGA GAATAGGAACTTC-3' Fecombination 5'-GTTCCTATAC TTTCTAGA GAATAGGA-3' 5'-GTTCCTATAC TTTCTAGA GAATAGGA-3' 5'-TTCCTATAC TTTCTAGA GAATAGGA-3' 5'-TTCCTATAC TTTCTAGA GAATAGGA-3' 5'-TTCCTATAC TTTCTAGA GAATAGGA-3' 5'-TTCCTATAC TTTCTAGA GAATAGG-3'	(GGAACTTC-3' (SEQ ID NO: 1)	GGAAC-3' (SEQ ID NO: 2) GGAACTTC-3' (SEQ ID NO: 3)	GGAAC-3' (SEQ ID NO: 4)	3GA-3' (SEQ ID NO: 5) 3GAACTTC-3' (SEQ ID NO: 6)	GGA-3' (SEQ ID NO: 7)	3-3' (SEQ ID NO: 8) 3GAACTTC-3' (SEQ ID NO: 9)
	CIAIAU IIICIAGA GAAIAG	FRT2 5'-GAAGTTCCTATAC TTTCTAGA GAATAGGAAC-3' FRT102 5'- GTTCCTATAC TTTCTAGÁ GAATAGGAACTTC-3'	5'-GTTCCTATAC TTTCTAGA GAATAGGAAC-3'	FRT3 5'-GAAGTTCCTATAC TTTCTAGA GAATAGGA-3' FRT103 5'-TTCCTATAC TTTCTAGA GAATAGGAACTTC-3' LRacombination	5'-TTCCTATAC TTTCTAGA GAATAGGA-3'	5'-GAAGTTCCTATAC TTTCTAGA GAATAG-3' 5'-CTATAC TTTCTAGA GAATAGGAACTTC-3'

FIG. 4 Xbal, Hindill EcoFI Smal, Xbal FRT103 PRA-tail Xbal URA3 Sall Xhol BamHI Pst I **Kpni** PPGALIGIN 4.2 Kb Xbal **▶** FRT3 EcoRt. pPUFRT3-103 GIN1 1 M86 5.4 Kb PRA SacI Pst I EcoRI-Pstl digest Blunt-end Smal digest 1 Ü Ű, **EcoRI** GALI-promoter BamHI Hindill Nool рНМ999 7.8кb GIN11M86 PRA-1ail Xhoi Kpnl PGAL1 PPUGINFRT3-103 URA3 . 6.9 Kb FRT3 Xbal EcoRI Sacl

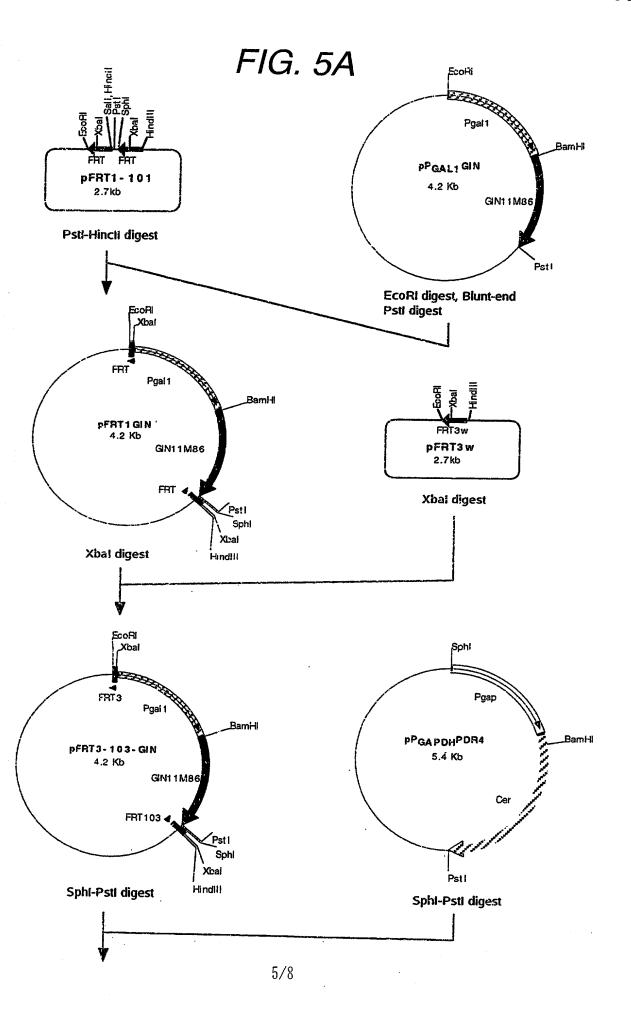
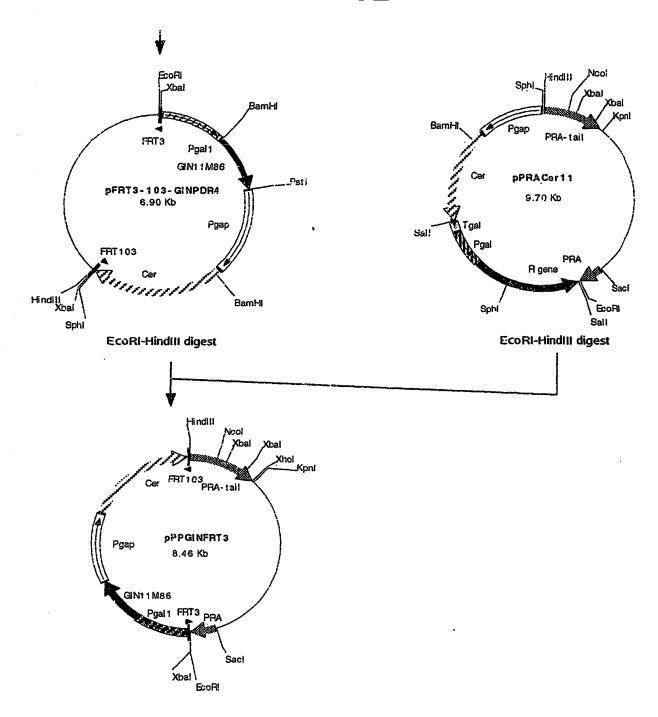
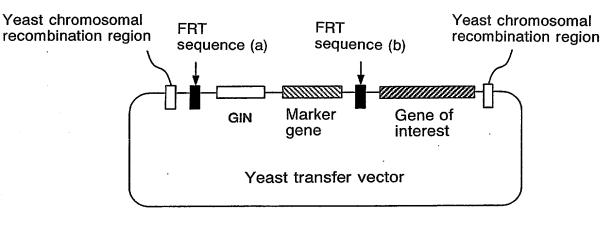


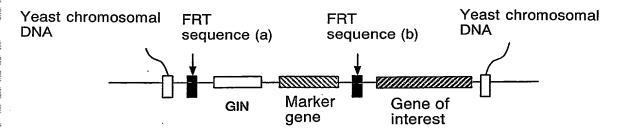
FIG. 5B



### FIG. 6



Insertion into a yeast chromosomal gene by homologous recombination



Site-specific recombination by the FLP gene product

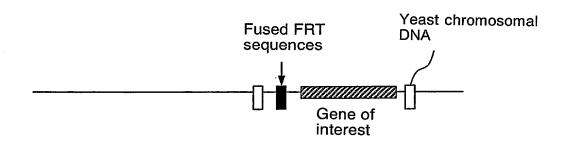
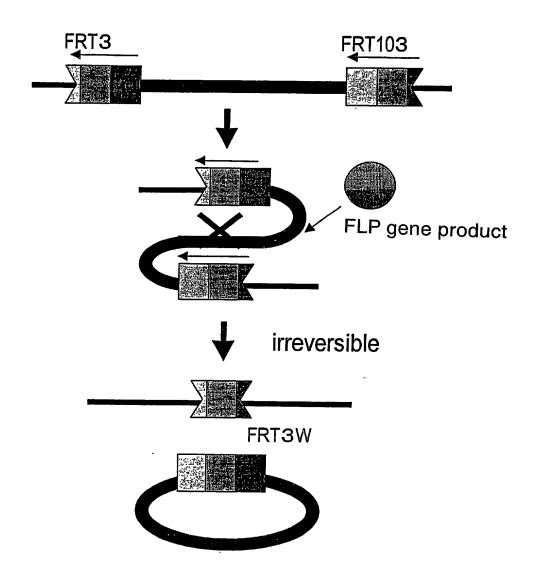


FIG. 7



### Sequence Listing

•	
<110> Suntory Ltd.	
<120> Method of Breeding Yeast	
<130> YCT-542	
<160> 28	
(100) 20	
<210> 1	
<211> 34	
<212> DNA	
<213> Artificial Sequence	
<400> 1	
gaagtteeta taetttetag agaataggaa ette	34
<210> 2	
<211> 31	
<212> DNA	
<213> Artificial Sequence	
⟨400⟩ 2	
gaagtteeta taetttetag agaataggaa c	31
⟨210⟩ 3	
(211) 31	
(212) DNA	
(213) Artificial Sequence	
(400) 3	
sticciatac iticiagaga ataggaacii c	31
(210> 4	
(211> 28	
(212> DNA	
213> Artificial Sequence	
400> 4	
ttcctatac tttctagaga ataggaac	28

<210> 5

<211> 20

<211> 29	
<212> DNA	
<213> Artificial Sequence	
<400> 5	
gaagtteeta taetttetag agaatagga	29
<210> 6	
⟨211⟩ 30	
<212> DNA	
<213> Artificial Sequence	
<400> 6	
ttcctatact ttctagagaa taggaacttc	30
<210> 7	
<211> 25	
<212> DNA	
<213> Artificial Sequence	
<400> 7	
ttcctatact ttctagagaa tagga	25
<210> 8	
<211> 27	
<212> DNA	
<213> Artificial Sequence	
<400> 8	
gaagttccta tactttctag agaatag	27
<210> 9	
<211> 27	
<212> DNA	
<213> Artificial Sequence	
<400> 9	
ctatactttc tagagaatag gaacttc	27
<210> 10	

<212> DNA	
<213> Artificial Sequence	
<b>⟨400⟩</b> 10	
ctatactitc tagagaatag	20
<210> 11	
<211> 40	
<212> DNA	
<213> Artificial Sequence	
<400> 11	
tcgacgaagt tcctatactt tctagagaat aggaacttcg	40
<210> 12	
<211> 40	
<212> DNA	
<213> Artificial Sequence	
<400> 12	
aattcgaagt tcctattctc tagaaagtat aggaacttcg	40
<210> 13	
<211> 44	
<212> DNA	
<pre>&lt;213&gt; Artificial Sequence</pre>	
<400> 13	
agcttgaagt tcctatactt tctagagaat aggaacttcg catg	44
/910\\ 14	
<210> 14   <211> 26	
<211> 36 <212> DNA	
<213> Artificial Sequence	
<400> 14	
cgaagtteet attetetaga aagtatagga aettea	0.6
	36
<210> 15	
<211> 16	
<212> DNA	

<213> Artificial Sequence	
<400> 15	1.0
ctagagaata ggaacg	16
(010) 10	
(210) 16	
<211> 16	
<212> DNA  (212) Antificial Common	
<213> Artificial Sequence	
<400> 16	16
aattegttee tattet	10
<210> 17	
<211> 18	
<212> DNA	
<213> Artificial Sequence	
<400> 17	
agettgttcc tatacttt	18
<210> 18	
<211> 18	
<212> DNA	
<213> Artificial Sequence	
<400> 18	
ctagaaagta taggaaca	18
<21 <b>0</b> > 19	
⟨211⟩ 14	
<212> DNA	
<213> Artificial Sequence	
<400> 19	
ctagagaata ggag	14
<21 <b>0</b> > 20	
(211) 14	
<212> DNA	
<213> Artificial Sequence	

<400> 20	
aatteteeta ttet	14
<210> 21	
<211> 16	
<212> DNA	
<213> Artificial Sequence	
<400> 21	
agettteeta taettt	16
<210> 22	
<211> 16	
<212> DNA	
<213> Artificial Sequence	
<400> 22	
ctagaaagta taggaa	16
<210> 23	
⟨211⟩ 12	
<212> DNA	
<213> Artificial Sequence	
<400> 23	1.0
ctagagaata gg	12
Z010\ 04	
<210> 24 <211> 12	
⟨211⟩ 12 ⟨212⟩ DNA	
<pre>&lt;213&gt; Artificial Sequence</pre>	
<400> 24	
aattcctatt ct	12
<210> 25	
<211> 14	
<212> DNA	
<213> Artificial Sequence	
<400> 25	

agcttctata cttt	14
<210> 26	
<211> 14	
<212> DNA	
<213> Artificial Sequence	
<400> 26	
ctagaaagta taga	14
<210> 27	
<211> 29	
<212> DNA	
<213> Artificial Sequence	
<400> 27	
tggatccgga atttcgacgg atcaataac	29
<21 <b>0</b> > 28	
<211> 35	
<212> DNA	
<213> Artificial Sequence	
<400> 28	
ttctgcagac tagatgcact catatcatta tgcac	35

# RULE 63 (37 C.F.R. 1.63) DECLARATION AND VER OF ATTORNEY FOR UTILITY OR DESCRIPTION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

• [X]	Declaration Submitted with	Initial Filing or [ ] D	eclaration Submitted a	after Initial Filing (surcha	rge 37 CFR 1.16 (e) re	equired)
hel	a below named inventor, I hieve I am the original, first a ed below) of the subject mat	nd sole inventor (if onl ter which is claimed an	y one name is listed b d for which a patent is	elow) or an original, first s sought on the <u>INVENT</u>	and joint inventor (if j	olural names are
<u></u>	METHOD OF BREED  ] attached hereto	ING YEAST			, the specific	cation of which is:
	OR  \[ \] was filed on (MM/DD/Y)	vaan 10/26/2000	Ac I Inited States	Application Number (Att	orney Docket No.	) or
PC	\( \) was filed on (MM/DD/Y) \( \) T International Application \( \)	No.PCT/JP00/074	91 and was an	nended on	(if applicable)	, 0.
am 1.5	ereby state that I have revie endment referred to above. I 6 including for continuation- national or PCT internation	acknowledge the duty to a cknowledge the duty to a children and a children are a children are a children are a	to disclose all informa terial information whi	tion known to me to be ma th becomes available betw	aterial to patentability a	is defined in 37 C.F.R.
36: als	ereby claim foreign priority 5(a) of any PCT international o identified below, by checking date before that of the appropriate that the appro	I Application which des ing the box, any foreign	signated at least one co application for patent	ountry other than the Unit	ed States of America,	listed below and have
-	IOR FOREIGN APPLICAT	ION(S)		Priority Not Claimed		
* 3C	<u>mber                                    </u>		Date (MM/DD/YYYY) 19	'· []	<u>Y</u> 6	] [X]
	-					
jeå an⊈h	ereby claim the benefit unde	er 35 U.S.C. 119(e) of a	ny United States prov	isional Application(s) lis	ted below.	
# P#	CIOR U.S. PROVISIONAL(S					
	oplication No. (series code/se		ng Date (MM/DD/YY	<u>YY)</u>		
			,*			
be im	ereby declare that all statement true; and further that these supprisonment, or both, under S e application or any patent is	tatements were made w section 1001 of Title 18	ith the knowledge that	willful false statements a	nd the like so made are	pumsnable by time of
Ιŀ	nereby appoint the registered	practitioners represente	ed by <b>Customer No.</b> :	20736 to prosecute this	application and transact	all business in the U.S.
	atent and Trademark Office i	n connection therewith	. Direct all correspon	ndence to Manelli Deni	ison & Selter PLLC	Cat Customer No.:
	<u>)736</u> .	7-1:1:1-	Azlikan		June 18, 2001	
O 1.	INVENTOR'S SIGNATUR Inventor's Name (typed)	Toshihiko	Maniferi	Oate		Japan
		First	Middle Initial	Family		ountry of Citizenship
	Residence (City)	<u> Fakatsuki-shi</u> de Zip Code) 11-26	(State) , Takamidai, I	<u>Osaka, Japan C</u> akatsuki-shi, (	)saka 569-1020	Japan
		4 .	9.0	Date	June 18, 2001	
OO 2.	INVENTOR'S SIGNATUR Inventor's Name (typed)	KE: Musa C Misa	I Chial	OCHIA	/I	Japan
	Paristance (Cita)	First Mishima-gun	Middle Initial (State)	Family Osaka, Japan		ountry of Citizenship
	Residence (City)  Post Office Address (Includence Address)	de Zip Code) 20-5-	401, Yamazaki	4-chome, Shimar	noto-cho, Mish	ima-gun, Osaka
. 1	INVENTOR'S SIGNATUR	₹F-		Date	618-	0001 Japan
	Inventor's Name (typed)			T 11	N. C	- Citizanahin
	Residence (City)	First	Middle Initial (State)	Family	name C	ountry of Citizenship
	Post Office Address (Inclu-	de Zip Code)	(2.335)			
[	] Additional Inventors are	being named on the _	supplemental addition	nal inventor sheet(s) attac	hed hereto.	MDS Jan 2001